

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Liu et al.

Application No.: 09/846,758

Filed: May 1, 2001

SYSTEM FOR FUNCTIONAL GENE For:

DISCOVERY IN PLANTS

Confirmation No.: 4859

Art Unit: 1631

Examiner: Moran, Marjorie A

Attorney Docket No.: EP01-001C

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 (c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R §1.56, this Information Disclosure Statement is being submitted for the above-referenced application.

Applicant requests the office to consider this Information Disclosure Statement under 37 C.F.R. §1.97 (c). Accordingly, the \$180.00 fee for consideration of the items listed on the attached 1449 form should be charged to Exelixis' Deposit Account No. 50-1108.

In accordance with 37 C.F.R §1.97 (g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined by 37 C.F.R. §1.56 exists.

In accordance with 37 C.F.R §1.97 (h), the disclosure contained herein is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" or "analogous art" for this invention.

The Commissioner is hereby authorized to charge any additional fees, if necessary, to Deposit No. 50-1108.

Respectfully submitted,

Dated: May 13, 2004

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(650) 837-8180; Fax: (650) 837-8234

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Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/846,758		
Filing Date	5/1/2001		
First Named Inventor	Liu		
Art Unit	1631		
Examiner Name	Moran, Marjorie A.		
Attorney Docket Number	EP01-001C		

U.S. PATENT DOCUMENTS					
Examiner Cite No.1	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	5.135 23641671	Passages or Relevant Figures Appear	
	10	US-6,300,542	10-09-2001	Briggs et al.	
		US-			

	FOREIGN PATENT DOCUMENTS					
Eugeniana Cita	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant T	
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Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.